

SN 09/782441

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Wing-Cheong G. Lai et al.

Examiner: Tuan Quach

Serial No.: 09/782441

Group Art Unit: 2814

Filed: February 13, 2001

Docket: 303.261US2

Title: SMALL GRAIN SIZE, CONFORMAL ALUMINUM INTERCONNECTS AND  
METHOD FOR THEIR FORMATION

COMMUNICATION CONCERNING RELATED APPLICATION(S)

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Applicants would like to bring to the Examiner's attention the following related  
application(s) in the above-identified patent application:

<u>Serial/Patent No.</u>	<u>Filing Date</u>	<u>Attorney Docket</u>	<u>Title</u>
09/782498	February 13, 2001	303.261US3	SMALL GRAIN SIZE, CONFORMAL ALUMINUM INTERCONNECTS AND METHOD FOR THEIR FORMATION

Respectfully submitted,

WING-CHEONG G. LAI ET AL.

By Applicants' Representatives,

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.  
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Date 13 Aug 04

By J. M. Kalis

Janal M. Kalis

Reg. No. 37,650

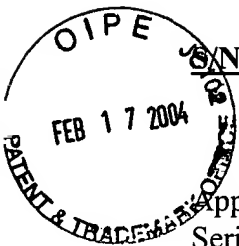
**CERTIFICATE UNDER 37 CFR 1.8:** The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 13 day of February, 2004.

Name

Tina Kohout

Signature

[Signature]



S/N 09/782441

PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant:	Wing-Cheong G. Lai et al.	Examiner:	Tuan Quach
Serial No.:	09/782441	Group Art Unit:	2814
Filed:	February 13, 2001	Docket:	303.261US2
Title:	SMALL GRAIN SIZE, CONFORMAL ALUMINUM INTERCONNECTS AND METHOD FOR THEIR FORMATION		

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**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

In compliance with the duty imposed by 37 C.F.R. § 1.56, and in accordance with 37 C.F.R. §§ 1.97 *et. seq.*, the enclosed materials are brought to the attention of the Examiner for consideration in connection with the above-identified patent application. Applicants respectfully request that this Information Disclosure Statement be entered and the documents listed on the attached Form 1449 be considered by the Examiner and made of record. Pursuant to the provisions of MPEP 609, Applicants request that a copy of the 1449 form, initialed as being considered by the Examiner, be returned to the Applicants with the next official communication.

Pursuant to 37 C.F.R. §1.97(b), it is believed that no fee or statement is required with the Information Disclosure Statement. However, if an Office Action on the merits has been mailed, the Commissioner is hereby authorized to charge the required fees to Deposit Account No. 19-0743 in order to have this Information Disclosure Statement considered.

INFORMATION DISCLOSURE STATEMENT

Serial No :09/782441

Filing Date: February 13, 2001

Title: SMALL GRAIN SIZE, CONFORMAL ALUMINUM INTERCONNECTS AND METHOD FOR THEIR FORMATION

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Dkt: 303.261US2

The Examiner is invited to contact the Applicants' Representative at the below-listed telephone number if there are any questions regarding this communication.

Respectfully submitted,

WING-CHEONG G. LAI ET AL.

By their Representatives,

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.  
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(612) 373-6976

Date 13 Aug 04

By Janal M. Kalis  
Janal M. Kalis  
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Tina Kohout  
Name

Z. L. L.  
Signature

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

Application Number	09/782441
Filing Date	February 13, 2001
First Named Inventor	Lai, Wing-Cheong
Group Art Unit	2814
Examiner Name	Quach, Tuan

Sheet 1 of 1

Attorney Docket No: 303.261US2

**US PATENT DOCUMENTS**

Examiner Initial *	USP Document Number	Publication Date	Name of Patentee or Applicant of cited Document	Class	Subclass	Filing Date If Appropriate
	US-5,464,666	11/07/1995	Fine, Stephen M., et al.	427	576	02/06/1995
	US-5,972,179	10/26/1999	Chittipeddi, , et al.	204	192.17	09/30/1997
	US-6,077,571	06/20/2000	Kaloyeros, Alain E., et al.	427	576	12/19/1995
	US-6,271,137	08/07/2001	Liou, Fu-Tai , et al.	438	688	11/01/1993

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Foreign Document No	Publication Date	Name of Patentee or Applicant of cited Document	Class	Subclass	T <sup>2</sup>
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**OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
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**EXAMINER****DATE CONSIDERED**

Substitute Disclosure Statement Form (PTO-1449)

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional) 2 Applicant is to place a check mark here if English language Translation is attached